

Managing Processed Applications and Certificates in PCIT

Prepared by: EDS U.S. Government Solutions 13600 EDS Drive Herndon, Virginia 20171



Revision History

Version Number	Revision Summary	Date
1	Initial version for 2008. Updates made for Release 3.1 include the following:	February 2008
	 Updated screen prints as necessary due to Org ID being listed in upper right hand corner of page. 	
	Changed references from "Certificate Print Wizard" to "Print Wizard".	
	Removed Supersede and Replace references.	
2	Updates made for Release 3.2 include the following:	April 2008
	 Updated screen prints as necessary for the new Certificate Adjustment & Payment page and the Print Wizard – Set Print Criteria pages. 	
3	Updates made for Release 3.3 include the following:	August 2008
	 Changed the document title from "PCIT Certificates" to "Managing Processed Applications and Certificates in PCIT". 	
	Combined the <i>View Certificates</i> and <i>View Process Applications</i> page to create the <i>Manage Processed Applications</i> page.	
	Removed the <i>Print Wizard</i> section and added it to the <i>Printing and Report Generating in PCIT</i> document.	

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1.0 Managing Certificates

When the ACO has inspected the application commodities and updated PCIT with required data as appropriate for the importing country's requirements, the ACO can issue a certificate. PCIT validates that at least one inspection has been recorded for the application during the issue process. PCIT prompts the user to approve the inspection data displayed, to provide another. The user can then chose to print a Shipper's Original certificate and enter the barcode number(s) of the security paper used.

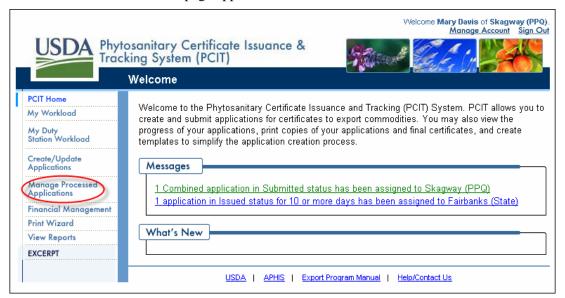
Output from the PCIT system is a printed certificate that denotes an authorized export of plant material. The Accredited Certifying Official (ACO) and designated Authorized Entities are the privilege users who can issue and print a certificate. Certificates are printed on heat sensitive security paper containing a watermark and bare the USDA/ Animal and Plant Health Inspection Service (APHIS) seal. The security paper possesses additional features to deter fraudulent use of the certificate. The USDA, and State and County Cooperators assigned to the duty stations are responsible for maintaining control and inventory of the security paper according to standard procedures.

In addition to privilege users stated above, the US Nursery Certified Program participants can issue and print certificates on regular 8x11 papers with the watermark 'US-NCP' lay across the page. External users can print copies of certificates on standard stock paper for document recording purposes. Each certificate print is recorded in the system for auditing purposes and the document has "COPY" watermark printed on it. PCIT only documents a certificate as printed if the **Printed** button is clicked and the certificate status is changed to Printed or Printed-A. PCIT does not record how many copies (certificates with the watermark "COPY" across it) are printed.

1.1 Managing Processed Applications

What	This section shows you how to use PCIT to manage processed applications.
	All certificates have a <i>status</i> . The statuses include:
	• Cancelled: the application has been cancelled by an AE or an ACO.
	• Issued: the application has passed inspection and a Phytosanitary Certificate has been issued.
	• Issued-A: the application has been processed by an authorized Nursery organization and a Phytosanitary Certificate has been issued.
	• Issued-Pending : the application has been issued without inspection (applicant or AE view).
	• Printed: the Phytosanitary Certificate has been printed for the specific application.
	 Printed-A: the Phytosanitary Certificate has been printed for a specific nursery application.
	 Replaced: the Phytosanitary Certificate has been replaced with a different certificate number.
	Voided: the issued Phytosanitary Certificate has been voided.
When	After logging on to PCIT, you may view your certificates at any time.
How	Complete the following steps:

1. If you are not already signed in to PCIT, sign in to PCIT. RESULT: The Welcome page appears.



2. Select the Manage Processed Applications link from the menu at the left side of the screen. RESULT: The Manage Processed Applications page appears and allows you to search for a certificate to view or manage.

NOTE: Applicant users can only search for certificates they are an applicant for. ACO users can search for certificates based on their associated Duty Stations or by known Tracking or FPC numbers.



3. Enter search criteria to manage a list of processed applications. From the resulting list, you may choose to view it by clicking on the **View** button, or you may manage the processed applications/certificates by clicking on the Manage button.

NOTE: If no certificates are found, the message "No certificates match the search criteria." displays.

The certificates associated with the entered search criteria are displayed with the following information:

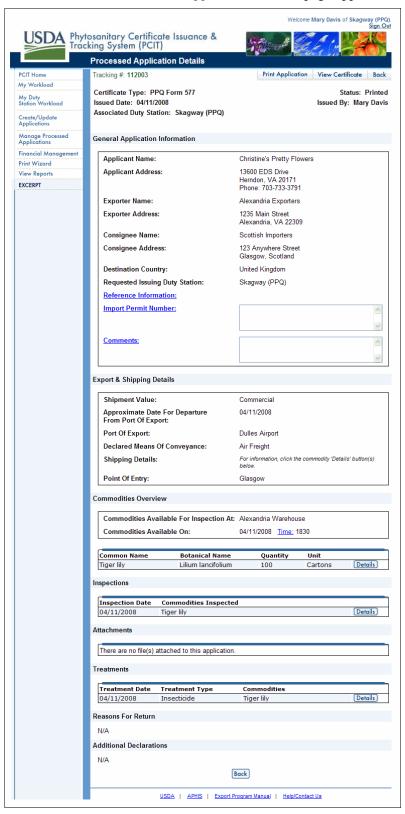
- Tracking/FPC Number: the tracking and certificate number assigned to the particular application. Cancelled applications will only list a tracking number.
- Status: status of the certificate.
- Issued Date: the date the certificate was issued by the ACO. Destination: the country to which the commodities will be sent.

A View and Manage button are displayed to the right of each certificate.

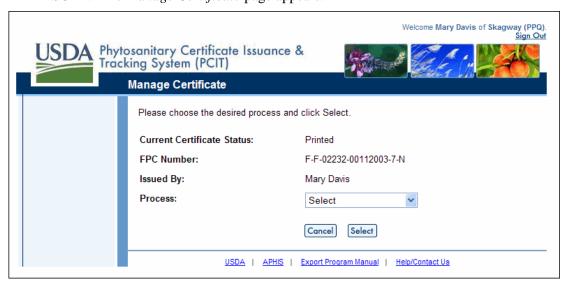


4. To view the application details from the *Managed Processed Applications* page, click the **View** button next to the associated application.

RESULT: The Processed Application Details page appears.



- 5. To generate the certificate details, click the **Details** button. RESULT: The associated window appears.
- 6. To change the status of a certificate, click the **Manage** button. RESULT: The Manage Certificate page appears.



- 7. Select the desired option in the **Process** dropdown list and click the **Select** button. RESULT: The list appears in the following order based on the certificate status:
 - a. Generate Copy
 - b. Generate XML
 - c. Print
 - d. Replace
 - e. Update Certificate Fee
 - f. Void
 - Split
 - h. Reuse

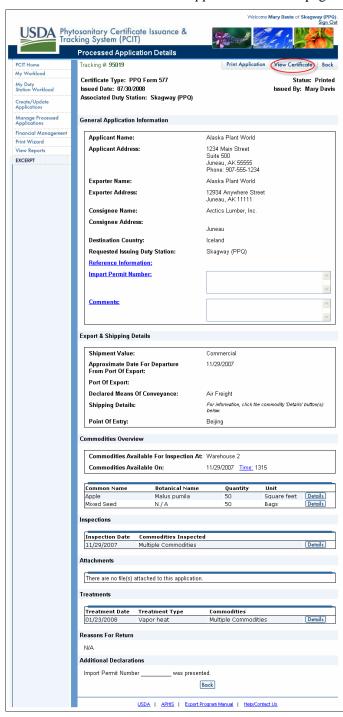
The following chart describes the options for Manage Certificate:

Option	Description
Generate Copy	You can generate a copy of the certificate from the <i>Manage Certificate</i> page. The certificate will display in PDF with the watermark "COPY" across it.
Generate XML	You can generate an XML file from the <i>Manage Certificate</i> page. The certificate information will display in format.
Print	You can update a certificate to Printed status from the <i>Manage Certificate</i> page. You can print the original certificate and then confirm the certificate printed correctly. This will ensure that duplicate original certificates cannot be generated in PCIT. It also updates the status of certificates in Issued status to the final status of Printed. You also have the option of entering the bar code that was printed on the security paper.
Replace	You can replace a certificate from the <i>Manage Certificate</i> page. Once you select the Replace option, the <i>Application Details</i> page displays. You can then edit the provided information.
Update Certificate Fee	ACOs can change federal certificate fees on "Issued" or "Printed" applications, as well as "Void" or "Replaced" certificates if the payment was originally taken, by selecting the Update Certificate Fee option from the <i>Manage Certificate</i> page. The fee change will impact the balance of the applicant's pre-purchase account, by either adding or subtracting funds.
Void	You can void Printed/Printed-A or Issued/Issued-A certificates. For Issued-A certificates, the <i>Manage Certificate</i> page appears and the only option is Void .
<u>Split</u>	You can split (void) certificates by the process of dividing it into two to five new certificates from the <i>Manage Certificate</i> page.
Reuse	You can reuse similar certificates that have to be generated during high-volume periods. This process can be used immediately upon completion of a certificate or from the <i>Manage Processed Applications</i> page. When reusing a certificate, all certificate data is copied to a new application except for Inspection Results and Treatment Dates (if Treatment Date existed on the certificate being reused).

1.1.1 View a Certificate

8. To view the application details from the *Managed Processed Applications* page, click the **View** button next to the associated application.

RESULT: The *Processed Application Details* page appears.



9. To view the certificate, click **View Certificate** in the upper right hand corner. RESULT: A new PDF window appears with the certificate copy displayed.

1.1.2 Generate a Certificate Copy

10. From the Manage Processed Applications page, click the Manage button next to the appropriate certificate.

RESULT: The Manage Certificate page displays.



11. In the **Process** drop-down list select **Generate Copy**, and then click the **Select** button. RESULT: The Generate Certificate Confirmation page displays.



12. Click the **Generate Certificate Copy** button.

RESULT: A new PDF window appears with the certificate copy displayed.

1.1.3 Generate an XML Certificate

13. From the Manage Processed Applications page, click the Manage button next to the appropriate certificate.

RESULT: The *Manage Certificate* page displays.

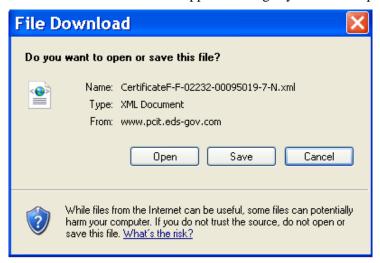


14. In the **Process** drop-down list select **Generate XML**, and then click the **Select** button. RESULT: The Generate Certificate Confirmation page displays.



15. Click the **Generate XML** button.

RESULT: A new screen appears asking if you want to open or save the XML file.



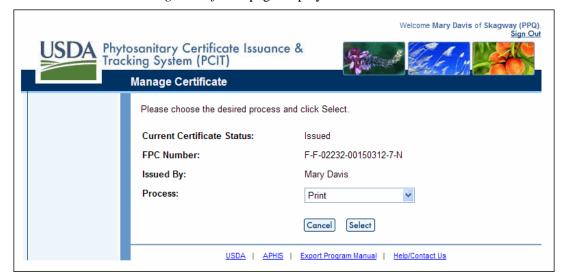
16. Click the **Open** button.

RESULT: A new screen appears with the XML document displayed.

1.1.4 Update Certificate to Printed Status

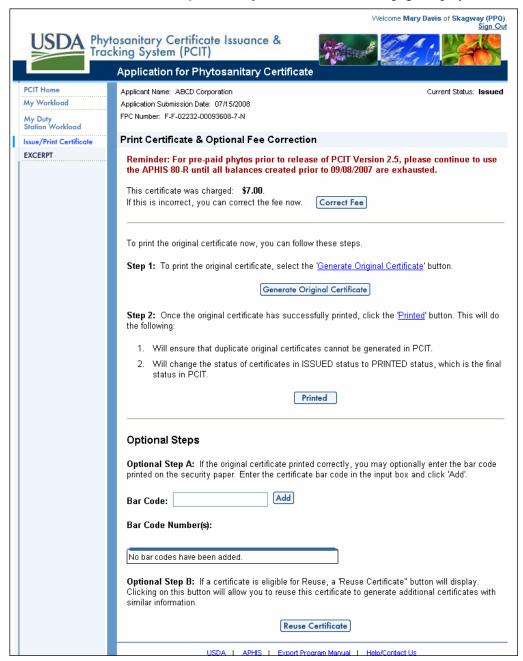
17. From the Manage Processed Applications page, click the Manage button next to the appropriate certificate.

RESULT: The Manage Certificate page displays.





18. In the **Process** drop-down list select **Print**, and then click the **Select** button. RESULT: The *Print Certificate & Optional Fee Correction* page displays.



To generate the Original Certificate, click on the **Generate Original** Certificate button. After printing the original certificate on security paper, confirm the certificate printed correctly by clicking the **Printed** button.

RESULT: The Print Certificate & Optional Fee Correction page refreshes with the current status displayed as Printed and the Generate Certificate Copy and Reuse Certificate buttons appear. You may enter the security paper bar code information if desired.

NOTE: For detailed steps to reuse a certificate, refer to Section 1.2, Reuse Certificates.



1.1.5 Replace a Certificate

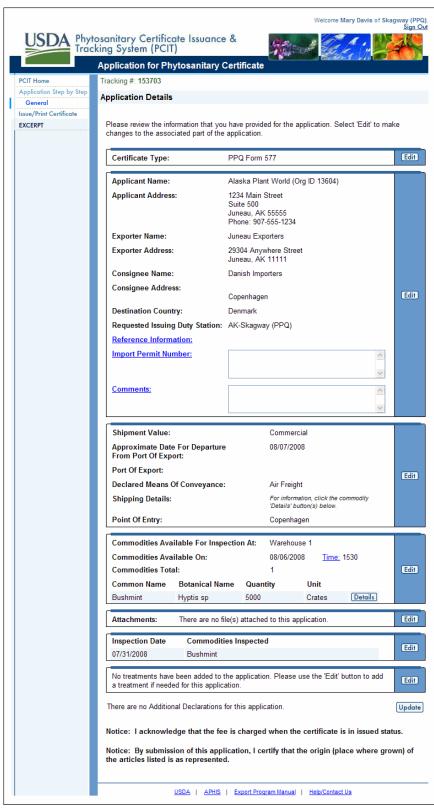
19. From the Manage Processed Applications page, click the Manage button next to a Printed or Issued certificate.

RESULT: The Manage Certificate page appears.



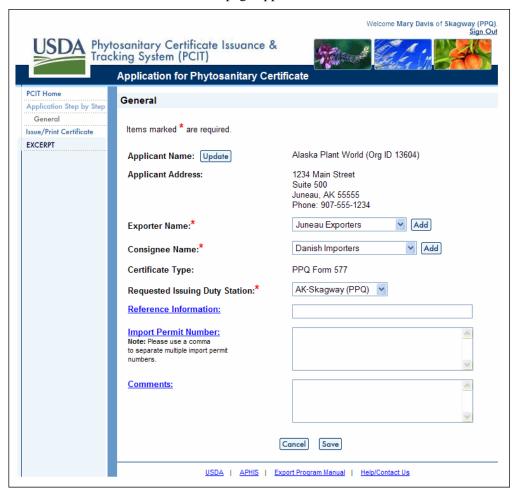


20. Select **Replace** from the Process drop-down list, and click the **Select** button. RESULT: The Application Details page appears.



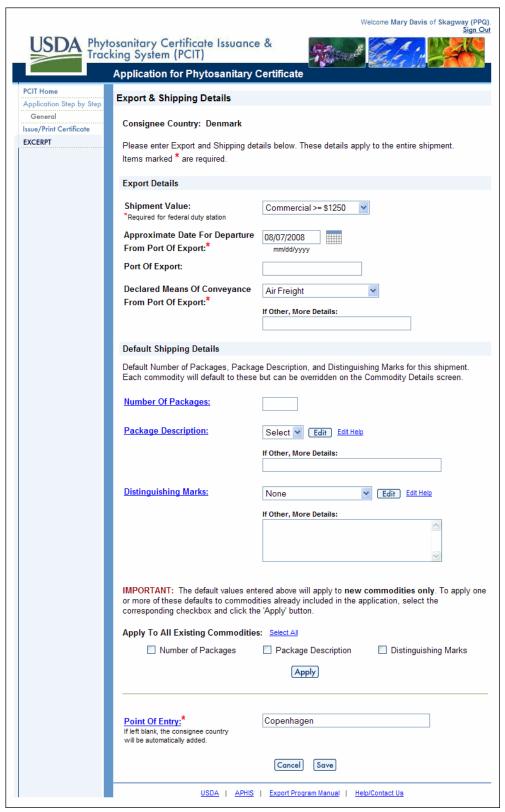
- 21. On the Application Detail page, click the **Edit** button to make changes to the associated part of the application.
 - To update the Applicant, Exporter, Consignee, Import permit number, or to enter a comment, click the associated **Edit** button.

RESULT: The *General* page appears.



You may update the information in any order. When you have completed the updates, click the **Save** button to return to the *Application Details* page.

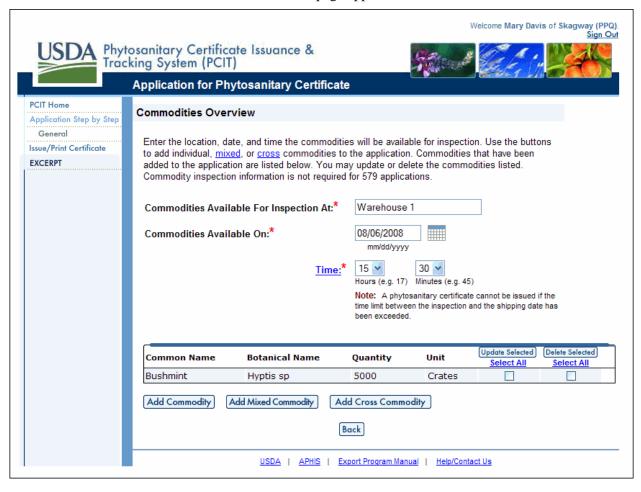
b. To update the export and shipping details, click the associated **Edit** button. RESULT: The Export & Shipping Details page appears.



When you have completed the updates, click the **Save** button to return to the *Application Details* page.

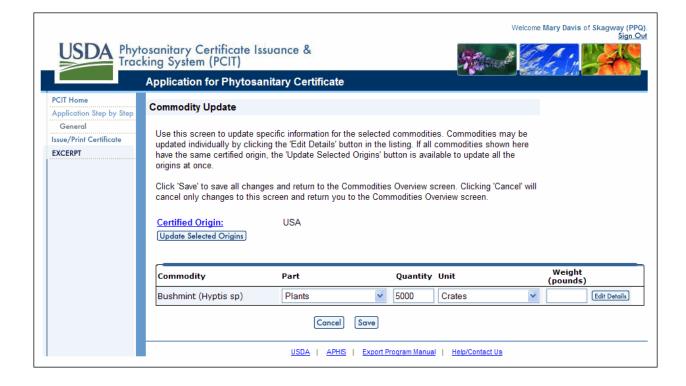
c. To update the commodities information, on the Application Detail page, click the associated Edit button.

RESULT: The Commodities Overview page appears.

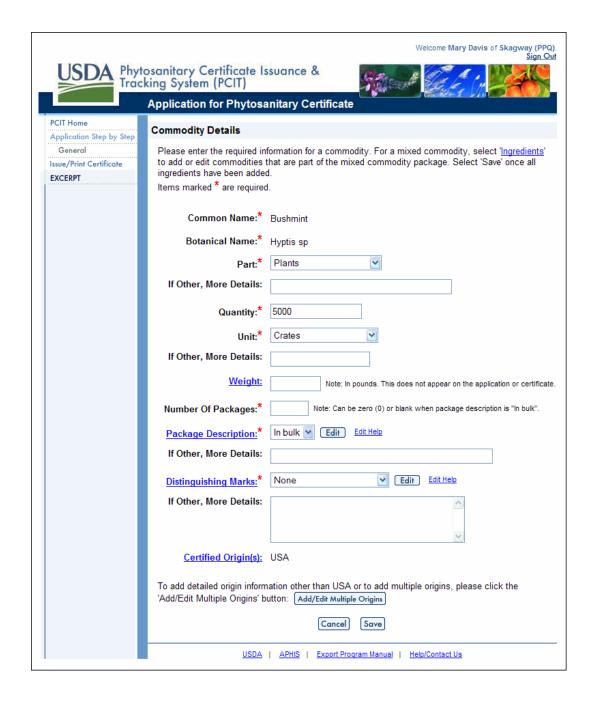


Use the following chart to proceed to the desired action.

If you want to	Then
Update the commodity	 Select the checkbox under the Update Selected column as appropriate, and click the Update Selected button to update the selected commodities. Upon completion, click the Back button. RESULT: The Application Details page reappears.
	■ Proceed to the next step.
Delete the commodity	 Select the checkbox under the Delete Selected column as appropriate, and click the Delete Selected button to remove the selected commodities. Upon completion, click the Back button. RESULT: The Application Details page reappears. Proceed to the next step.



To update the commodities information, click the associated **Edit Details** button. RESULT: The Commodity Details page appears.



Please enter the required information for a commodity based on the following table:

Field	Notes
Common Name	Read only.
Botanical Name	Read only.
Part	■ You may update the part of the associated commodity.
Quantity	■ You may update the quantity of the associated commodity.
Unit	■ You may update the unit of the associated commodity.
Weight	Weight in pounds of the commodity.This is an optional field.
Number Of Packages	■ You may update the number of packages for the associated commodity.
Package Description	■ You may enter a package description for the associated commodity.
Distinguishing Marks	You may enter distinguishing marks for the associated commodity.
Certified Origin(s)	■ Read only.

For a mixed commodity, select the <u>Ingredients</u> link at the top of the page to add or edit commodities that are part of the mixed commodity package. Once all of the ingredients have been added and the commodities are updated, click the Save button.

RESULT: The Commodity Overview page appears. Click the Back button to return to the Application Details page.

22. Select the Issue/Print Certificate link from the left menu.

RESULT: The Certificate Adjustment page appears.

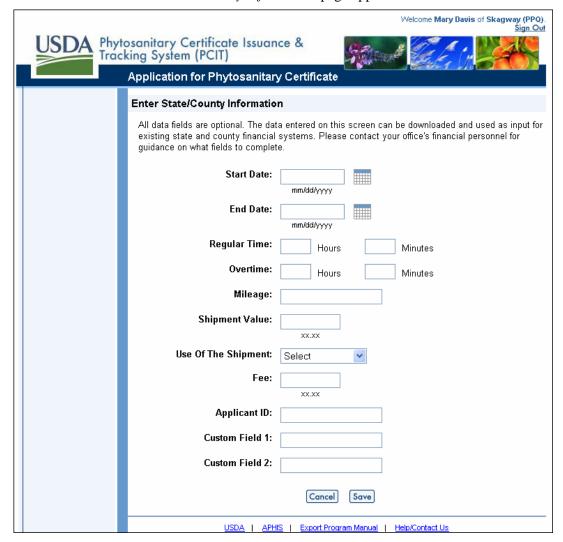
a: Federal Certificates:

Make necessary updates, and click the **Issue Certificate** button to re-issue the certificate.

b. State/County Certificates:

To enter the State/County Information, click the Enter State/County Information button at the bottom of the page, available for certificates issued at State/County duty stations.

RESULT: The Enter State/County Information page appears.





Complete the fields of the Enter State/County Information page according to the following field chart. All data fields are optional. The data entered on this screen can be downloaded and used as input for existing state and county financial systems. Please contact your office's financial personnel for guidance on what fields to complete.

Notes
■ Indicates beginning date of inspection process.
■ Indicates end date of inspection process.
■ Indicates hours spent on the shipment inspection.
■ Indicates overtime hours taken over shipment inspection.
■ Indicates mileage traveled to conduct the shipment inspection.
■ Indicates value of shipment.
■ Indicates the use of the shipment.
■ Indicates the fee for the shipment.
■ Indicates the certificate identifier.
■ Free text field.
■ Free text field.

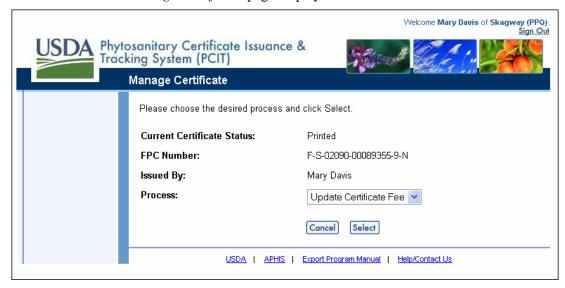
23. Click the **Save** button.

RESULT: The Certificate Adjustment page reappears.

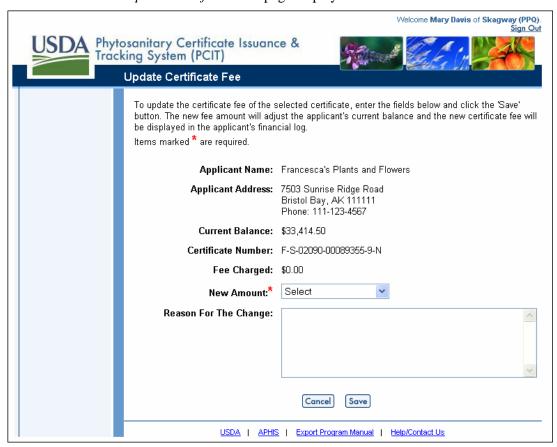
1.1.6 Update a Certificate Fee

24. From the Manage Processed Applications page, click the Manage button next to the appropriate certificate.

RESULT: The Manage Certificate page displays.



25. In the **Process** drop-down list select **Update Certificate Fee**, and then click the **Select** button. RESULT: The *Update Certificate Fee* page displays.



26. Enter the required information based on the following table:

Field	Notes
New Amount	■ The new amount for the certificate fee. Select the appropriate fee amount from the drop-down list.
Reason For The Change	■ Free text field.

27. Click the **Save** button to save your entry. RESULT: The *Update Confirmation* page displays.

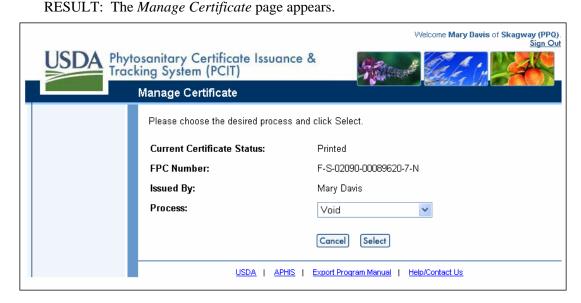


28. Click the **Yes** button to confirm the update Click the No button to cancel the update.



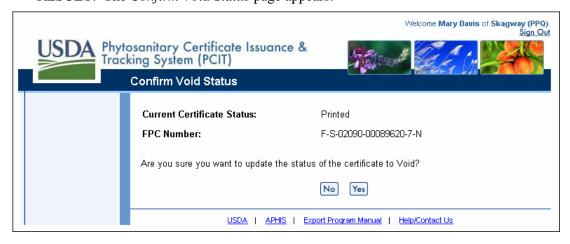
1.1.7 Void a Certificate

29. From the Manage Processed Applications page, click the Manage button next to the Printed/Printed-A or Issued/Issued-A certificate.



NOTE: For Issued-A certificates, the *Manage Certificate* page appears and the only option is **Void**.

30. Select **Void** from the **Process** drop-down list and click the **Select** button. RESULT: The Confirm Void Status page appears.



31. Use the following chart to proceed to the desired action.

If you want to	Then
Void the certificate	■ Click the Yes button.
	■ RESULT: The <i>Update Confirmation</i> page appears.
	Use the menu at the left side of the screen to select your next function in PCIT.
Abandon the void process	■ Click the No button.
	■ RESULT: The action is cancelled, and the <i>Process Certificates</i> page appears.
	■ Click the Cancel button.
	■ RESULT: The <i>Manage Processed Applications</i> page appears.

1.1.8 Split a Certificate

PCIT allows users to split existing certificates. You can render the certificates as split (void) by the process of dividing it into two to five new certificates. This process enables you to select commodity and quantity for each resulting certificate, carries forward the appropriate application/certificate data, tracks all edits, performs standard application processing validations (CITES checks), updates the AD as required, generates new certificate numbers for each resulting certificate, and maintains a link between the original and new certificates The resulting certificate will trigger the print process and finance process.

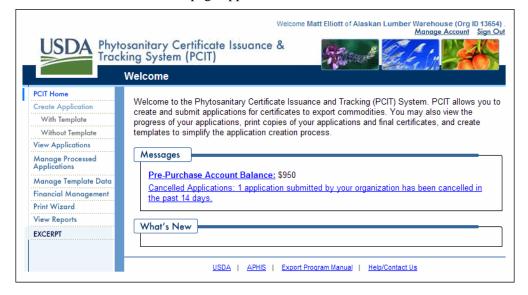
Refer to the Combining and Splitting Certificates in PCIT document for further details.



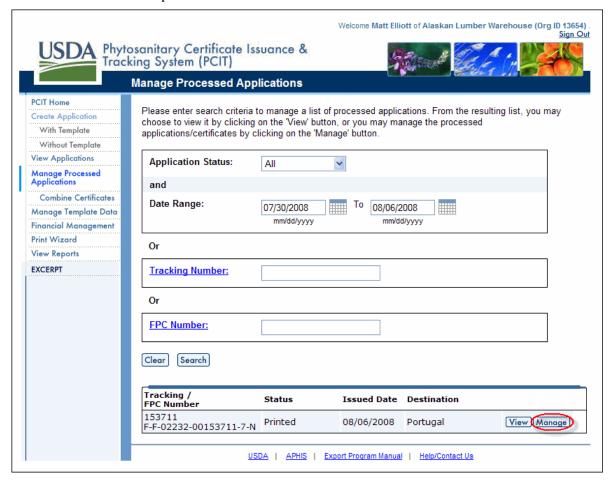
1.2 Reuse Certificates

What	This section shows you how to reuse Issued-pending, Issued, or Printed certificates to create additional or similar certificates.
When	You have multiple similar certificates that have to be generated during high-volume periods. This process can be used immediately upon completion of a certificate or from the <i>Manage Processed Applications</i> page. When reusing a certificate, all certificate data is copied to a new application except for Inspection Results and Treatment Dates (if Treatment Date existed on the certificate being reused).
How	Complete the following steps:

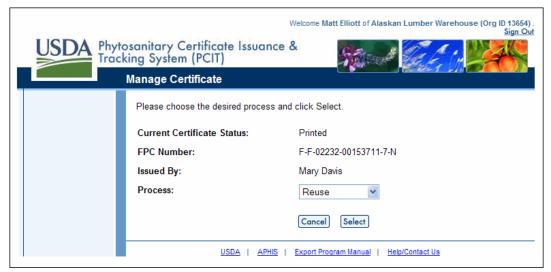
1. If you are not already signed in to PCIT, sign in to PCIT. RESULT: The Welcome page appears.



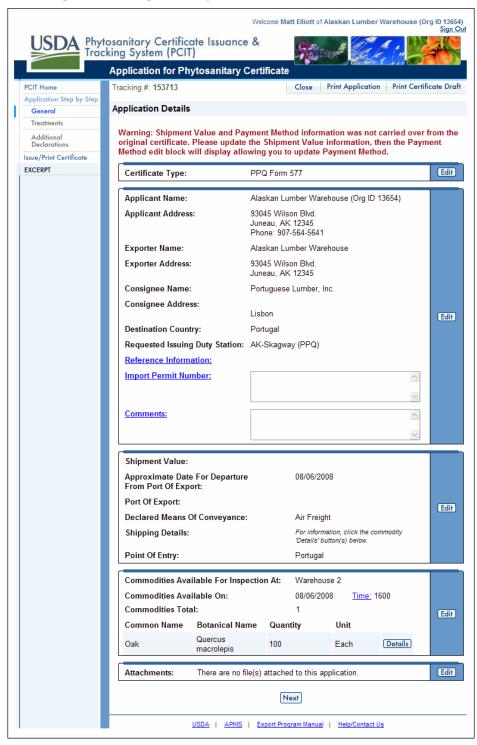
2. Select the Manage Processed Application link from the menu at the left side of the screen. RESULT: The Manage Processed Applications page appears and allows you to search for a certificate to view or process.



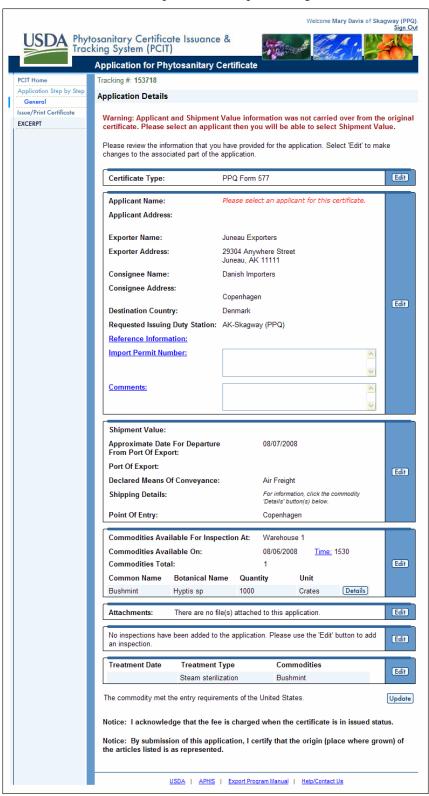
3. Click the **Manage** button next to certificate to be split. RESULT: The Manage Certificate page appears.



- 4. Select **Reuse** from the Process drop-down list, and click the **Select** button. RESULT: The *Application Details* page appears.
 - For AE users, the following screen appears. The shipment value is not carried over and must be updated before proceeding.



For ACO users, the following screen appears. The applicant and shipment value is not carried over and must be updated before proceeding.



5. Review the information provided for the application or certificate. Click the **Edit** button for the associated section to update or change information.

NOTE: ACO users must update the following information before proceeding by clicking on the **Issue/Print Certificate** button on the left navigation:

- Add a new inspection (original inspection data is not carried over)
- Add new treatment dates (if the original certificate had treatments, the information is carried over with no date information)
- Update additional declaration information if desired.

Once ACOs have added the processing information and clicked the **Issue/Print Certificate** button, they can skip to Step 8.

6. For AE users, click the **Next** button. RESULT: The *Treatments* page is displayed.



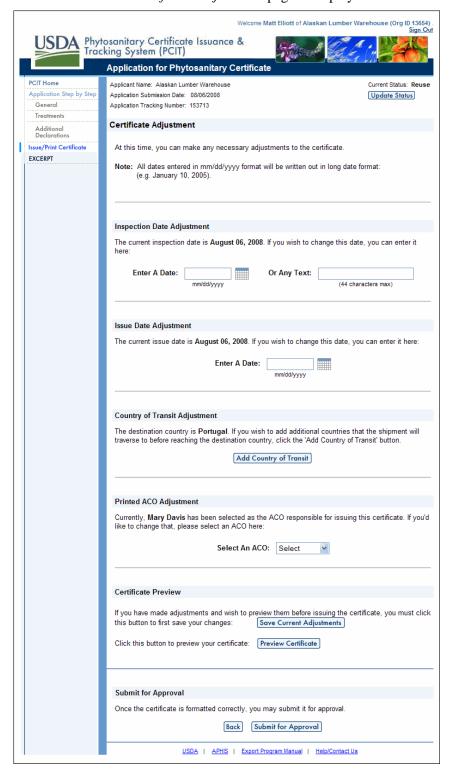
NOTE: Treatment information from the original certificate will be displayed but the AE user must enter a new treatment date by clicking the Update button or delete the treatment information by clicking the **Delete** button. The user also has the option to add a new treatment by clicking the **Add New Treatment** button.

7. After adding or editing the treatments, click the **Next** button. RESULT: The Additional Declarations page is displayed.

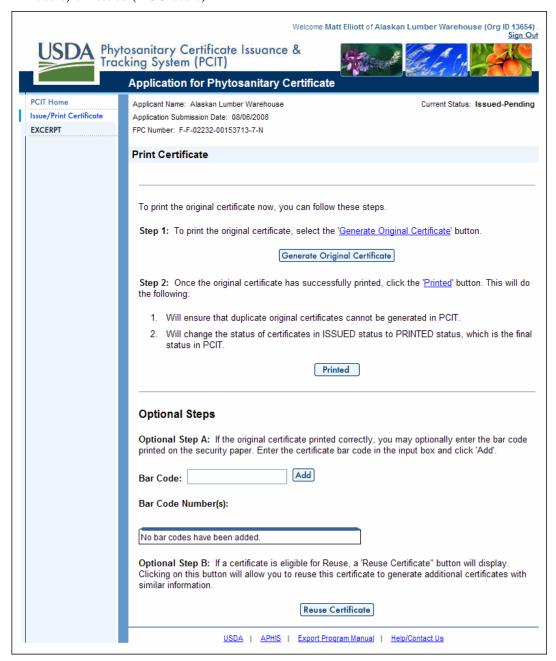


8. Click the **Next** button.

RESULT: The Certificate Adjustment page is displayed.



9. After reviewing or updating the application/certificate information, click the **Submit for Approval** button (AE users) or the Issue Certificate & Charge Fee button (ACO users). RESULT: The Print Certificate page appears and the current status changes to Issued-Pending (AE users) or Issued (ACO users).



- 10. Click the Generate Original Certificate button to open the certificate in a new PDF window. Once you have successfully printed the certificate, click the **Printed** button/ or proceed to reuse this application/certificate by clicking the **Reuse Certificate** button. RESULT: The Print Certificate page refreshes with the current status displayed as Printed (ACO users only, status remains as Issued-Pending for AE users) and the Generate Certificate Copy and Reuse Certificate buttons appear. You may enter the security paper bar code information if desired.
- 11. Clicking on the **Reuse** Certificate button will start the reuse process all over again with the Application Details page appearing. To terminate the Reuse process, you can select the PCIT Home link on the left side of the screen.